

16 October 2026

Appendix 3Y (Mr Ian Penrose) Notice and Clarifications

Dataworks Group Limited ACN 612 182 368 (ASX: **DWG**) ("**Dataworks**" or "the **Company**") attaches an Appendix 3Y 'Change of Director Interest Notice' for Mr Ian Penrose (**Appendix 3Y**).

The attached Appendix 3Y advises of the following changes in Mr Ian Penrose's interests:

- (i) the issue of securities relating to the Entitlement Offer announced to the ASX on 18 September 2026 and allotted on 16 October 2026; and
- (ii) the lapsing of 250,000 unlisted options exercisable at \$0.40 and which expired on 18 September 2026 (**Lapsed Options**), held and as disclosed on the previously lodged director's interest notice.

Further to the above, the Company advises that:

- on 18 September 2026, the Company announced an Appendix 3H, advising the ASX of the expiry of the total class of Lapsed Options, being 8,874,998 unlisted options in total for all holders. The Company Secretary and Director inadvertently did not link at the time the fact that Mr Penrose held the Lapsed Options; and
- as Mr Penrose held the Lapsed Options, the Company should have released an Appendix 3Y reflecting this change by 25 September 2026. This change is reflected in the attached Appendix 3Y.

The Company confirms that the Appendix 3Y affixed to this announcement is an accurate disclosure of Mr Penrose's direct and indirect interests in the Company as at 16 October 2026.

The Company and the Director are aware of their obligation under Listing Rules 3.19A and 3.19B to provide the necessary information to the Company to meet its disclosure requirements. The Company is committed to maintaining a high standard of corporate governance practices and ensuring its compliance with the ASX Listing Rules. The Company Secretary has today implemented a new process of tracking the movement in director securities through the Registry Platform and is confident that the processes it has in place will ensure compliance with Listing Rules 3.19A and 3.19B.

-ENDS-

This announcement has been authorised for release by the Board of Dataworks Group Limited.

For enquiries regarding this release please contact:

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About Dataworks

Dataworks Group Limited (ASX:DWG) is a leading Regulated Gaming Technology (“RegTech”) company specialising in secure, scalable and mission-critical technologies designed to combat problem gambling and support responsible wagering initiatives globally.

Dataworks delivers and operates Australia’s **BetStop – National Self-Exclusion Register™** (“NSER”) and Ontario’s **BetGuard** Centralised Self-Exclusion platform for iGaming Ontario in Canada, together representing the only two known large-scale, enterprise-grade, real-time centralised self-exclusion systems currently operating globally. The Company’s platforms maintain real-time integrations with more than 200 of the world’s largest wagering operators and are fully embedded into the betting journey, enabling instantaneous exclusion checks and enforcement at critical points of user activity. Since the launch of its first platform approximately two years ago, Dataworks’ government platforms have processed more than **41 billion real-time exclusion checks** and now process **in excess of 50 million real-time exclusion checks** on a typical day, demonstrating the scale, resilience and mission-critical nature of the Company’s technology.

In addition to its core technology platforms, Dataworks also provides associated managed services infrastructure, including end-to-end contact centre operations supporting large-scale regulatory program delivery.

Forward Looking Statements

This announcement contains forward-looking statements based on Dataworks management’s current beliefs, assumptions and expectations, which involve known and unknown risks and uncertainties.

Actual results or performance of Dataworks may be materially different from the results or performance expressed or implied by such forward-looking statements. Forward-looking statements are based on numerous assumptions regarding the Company’s present and future business strategies and the environment in which Dataworks will operate, which are subject to change without notice. Neither Dataworks, its officers nor any other person gives any warranty, representation, assurance or guarantee that the events or other matters expressed or implied in this announcement (including the forward-looking statements) will actually occur. Actual values, results or events may be materially different to those expressed or implied in this announcement. Given these uncertainties, readers are cautioned not to place reliance on forward-looking statements. Any forward-looking statement in this announcement is given as at the date of this announcement.

Appendix 3Y

Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

Name of entity	DataWorks Group Limited
ABN	85 612 182 368

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Ian Penrose
Date of last notice	4 September 2025

Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct & Indirect
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	Dunaswood Limited (associated entity – director and shareholder) Vanessa Penrose (Spouse) Individual Savings Account (ISA) (held via Citicorp Nominees Pty Limited) Citibank Australia (held via BDL UK Clt Settle Safecustody Acct)
Date of change	(a) (c) (e) (f) (g) (h) (i) (j) 16 October 2026 (d) 18 September 2026
No. of securities held prior to change	Direct (a) 401,096 - Fully paid ordinary shares [directly beneficially held in ISA through custodian, Citicorp Nominees Pty Limited] (b) 1,250,000 - Unlisted Options EX \$0.60, Expiring 31/05/2027 (c) 625,000 - Fully paid ordinary shares (d) 250,000 - Unlisted Options EX \$0.40, Expiring 18/09/2026 (e) 0 - Unlisted Options EX \$0.20, Expiring 31/12/2027 [directly beneficially held in ISA through custodian, Citicorp Nominees Pty Limited] (f) 0 - Unlisted Options EX \$0.20, Expiring 31/12/2027 [directly beneficially held in ISA through custodian, Citicorp Nominees Pty Limited]

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	Indirect <u>Dunaswood Limited</u> (g) 64,430 - Fully paid ordinary shares (h) 0 - Unlisted Options EX \$0.20, Expiring 31/12/2027 <u>Vanessa Penrose ISA</u> (i) 101,515 - Fully paid ordinary shares [beneficially held in ISA through custodian, Citicorp Nominees Pty Limited] (j) 0 - Unlisted Options EX \$0.20, Expiring 31/12/2027 [beneficially held in ISA through custodian, Citicorp Nominees Pty Limited]
Class	(a) Fully paid ordinary shares [directly beneficially held in ISA through custodian, Citicorp Nominees Pty Limited] (b) Unlisted Options EX \$0.60, Expiring 31/05/2027 (c) Fully paid ordinary shares (d) Unlisted Options EX \$0.40, Expiring 18/09/2026 (e) Unlisted Options EX \$0.20, Expiring 31/12/2027 [directly beneficially held in ISA through custodian, Citicorp Nominees Pty Limited] (f) Unlisted Options EX \$0.20, Expiring 31/12/2027 (g) Fully paid ordinary shares (h) Unlisted Options EX \$0.20, Expiring 31/12/2027 (i) Fully paid ordinary shares [beneficially held in ISA through custodian, Citicorp Nominees Pty Limited] (j) Unlisted Options EX \$0.20, Expiring 31/12/2027 [beneficially held in ISA through custodian, Citicorp Nominees Pty Limited]
Number acquired	(a) 40,109 - Fully paid ordinary shares [directly beneficially held in ISA through custodian, Citicorp Nominees Pty Limited] (b) NIL (c) 62,500 - Fully paid ordinary shares (d) NIL (e) 20,055 - Unlisted Options EX \$0.20, Expiring 31/12/2027 shares [directly beneficially held in ISA through custodian, Citicorp Nominees Pty Limited] (f) 31,250 - Unlisted Options EX \$0.20, Expiring 31/12/2027 (g) 6,443 - Fully paid ordinary shares (h) 3,222 - Unlisted Options EX \$0.20, Expiring 31/12/2027 (i) 10,151 - Fully paid ordinary shares [beneficially held in ISA through custodian, Citicorp Nominees Pty Limited] (j) 5,076 - Unlisted Options EX \$0.20, Expiring 31/12/2027 [beneficially held in ISA through custodian, Citicorp Nominees Pty Limited]
Number disposed	(d) (250,000) - Unlisted Options EX \$0.40, Expiring 18/09/2026

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Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	(a) \$0.12 per share (or \$4,813.20 in total) (b) NIL (c) \$0.12 per share (or \$7,500 in total) (d) NIL – Lapsing of options (e) Nil cash consideration as 1:2 free attaching option in Entitlement Offer in (a) above (f) Nil cash consideration as 1:2 free attaching option in Entitlement Offer in (c) above (g) \$0.12 per share (or \$773.16 in total) (h) Nil cash consideration as 1:2 free attaching option in Entitlement Offer in (g) above (i) \$0.12 per share (or \$1,218.24 in total) (j) Nil cash consideration as 1:2 free attaching option in Entitlement Offer in (i) above
No. of securities held after change	Direct (a) 441,205 - Fully paid ordinary shares [directly beneficially held in ISA through custodian, Citicorp Nominees Pty Limited] (b) 1,250,000 - Unlisted Options EX \$0.60, Expiring 31/05/2027 (c) 687,500 - Fully paid ordinary shares (d) 0 - Unlisted Options EX \$0.40, Expiring 18/09/2026 (e) 20,055 - Unlisted Options EX \$0.20, Expiring 31/12/2027 shares [directly beneficially held in ISA through custodian, Citicorp Nominees Pty Limited] (f) 31,250 - Unlisted Options EX \$0.20, Expiring 31/12/2027 Indirect <u>Dunaswood Limited</u> (g) 70,873 - Fully paid ordinary shares (h) 3,222 - Unlisted Options EX \$0.20, Expiring 31/12/2027 <u>Vanessa Penrose ISA</u> (i) 111,666 - Fully paid ordinary shares [beneficially held in ISA through custodian, Citicorp Nominees Pty Limited] (j) 5,076 - Unlisted Options EX \$0.20, Expiring 31/12/2027 [beneficially held in ISA through custodian, Citicorp Nominees Pty Limited]
Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	(a) (c) (e) (f) (g) (h) (i) (j), being under the non-renounceable pro rata Entitlement Offer consisting of: - issue of one (1) New Share for every ten (10) existing Shares held at \$0.12 per New Share; and - one (1) free attaching New Option for every two (2) New Shares subscribed for and issued. (d) Lapsing of unlisted options

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Change of Director's Interest Notice

Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

Detail of contract	N/A
Nature of interest	N/A
Name of registered holder (if issued securities)	N/A
Date of change	N/A
No. and class of securities to which interest related prior to change Note: Details are only required for a contract in relation to which the interest has changed	N/A
Interest acquired	N/A
Interest disposed	N/A
Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation	N/A
Interest after change	N/A

Part 3 – ⁺Closed period

Were the interests in the securities or contracts detailed above traded during a ⁺closed period where prior written clearance was required?	NO
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/A
If prior written clearance was provided, on what date was this provided?	N/A

⁺ See chapter 19 for defined terms.