

25 June 2026

ASX Announcement

Notice of initial substantial holder for ATX

Please find attached Form 603 - Notice of initial substantial holder ('Notice') required to be lodged under the Corporations Act 2001 ('the Act'). The Notice sets out a technical interest which Soul Patts is deemed to hold under the Act due to holding voting power of more than 20% of the ordinary fully paid shares in Pengana Capital Group Limited (PCG).

Yours sincerely



Lillie Johnson
Company Secretary

–ENDS–

This ASX announcement has been authorised for release by the Company Secretary.

About Soul Patts

Washington H. Soul Pattinson ("Soul Patts") is an Australian public company that first listed on the Sydney Stock Exchange (now ASX) on 21 January 1903. With origins in owning and operating Australian pharmacies, Soul Patts has since evolved into an investment house with a diversified and uncorrelated portfolio of assets across multiple industries. Soul Patts takes a long-term approach to investing with an objective to deliver superior returns by creating capital growth and regular dividends. Through owning SOL shares, an investor gains access to the following asset classes: listed companies, private companies, emerging companies, credit, and real assets. More information: SoulPatts.com.au

Form 603

Corporations Act 2001
Section 671B

Notice of initial substantial holder

To Company Name/Scheme AMPLIA THERAPEUTICS LIMITED (ASX: ATX)

ACN/ARSN ACN 165 160 841

1. Details of substantial holder(1)

Name WASHINGTON H. SOUL PATTINSON AND COMPANY LIMITED (Soul Patts) AND SUBSIDIARIES

ACN/ARSN (if applicable) ACN 687 534 023

The holder became a substantial holder on 22/06/2026

2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of Securities	Person's votes (5)	Voting power (6)
Ordinary Shares			
Pengana Capital Group Limited (PCG)	27,544,919	27,544,919	5.37%
Total	27,544,919	27,544,919	5.37%

3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
See PCG's Notice of initial substantial holder for ATX lodged on 24 June 2026 and attached (Annexure A)		

4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
PCG	BNP Paribas Nominees Pty Limited	Pengana Capital Limited (PCL)	RELEVANT INTEREST DUE TO HOLDING VOTING POWER OF MORE THAN 20% IN PCG

5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)	Class and number of securities
See PCG's Notice of initial substantial holder for ATX lodged on 24 June 2026 and attached (Annexure A)			

6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
See list of subsidiaries of Soul Patts as disclosed in the "Controlled Entities" Note of the last Annual Report.	

7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Soul Patts, WHSP Holdings Pty Limited, Soul Patts Holdings Pty Limited and subsidiaries	Level 8, 121 Castlereagh Street, Sydney, NSW 2000
Pengana Capital Group Limited	Governor Phillip Tower, Level 27/1 Farrer Pl, Sydney NSW 2000

Signature

print name Lillie Johnson Capacity Company Secretary

sign here



date 25 June 2026

DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
- (6) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (7) Include details of:
 - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
 - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

Annexure A**Form 603 – Notice of initial substantial holder from Pengana Capital Group Limited (ACN 059 300 426) and its subsidiaries.**

This and the following 4 pages form Annexure A referred to in Form 603 – Notice of initial substantial holder by Soul Patts.

Name: Lillie Johnson

Capacity: Company Secretary

A handwritten signature in black ink, appearing to be 'Lillie Johnson', written in a cursive style.

Signature:

Date: 25 June 2026

Form 603

Corporations Act 2001
Section 671B

Notice of initial substantial holder

To Company/registered
scheme/notified foreign
passport fund name

AMPLIA THERAPEUTICS LIMITED

ACN/ARSN/APFRN
NFPFRN (if applicable)

ABN: 16 165 160 841

1. Details of substantial holder (1)

Name

Annexure A

ACN/ARSN/APFRN (if applicable)

Annexure A

NFPFRN (if applicable)

The holder became a substantial holder on 22 06/ 2026

2. Details of voting power

The total number of votes attached to all the voting shares or interests in the company, scheme or fund that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
Fully Paid Ordinary Shares	27,544,919	27,544,919	5.37%

3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Annexure B		

4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Annexure B			

5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Annexure C				

6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN/APFRN (if applicable) and NFPFRN (if applicable)	Nature of association
N/A	

7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Annexure D	

Signature

print name

Keith McLachlan

capacity

Director, Pengana Capital Ltd

sign here

date 24 / 06 / 2026

DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. A corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares or interests in the company, scheme or fund (if any) that the person or an associate has a relevant interest in.
- (6) The person's votes divided by the total votes in the body corporate, scheme or fund multiplied by 100.
- (7) Include details of:
 - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
 - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Details of the consideration must include any and all benefits, money and otherwise, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

Annexure A	
Details of Substantial Holder	ACN
Pengana Capital Group Limited	059 300 426
Pengana Capital Limited	103 800 568
Pengana Investment Management Limited	063 081 612
Pengana International Equities Limited	107 462 966

Annexure B			
Holder of Relevant Interest	Registered Holder of Securities and person entitled to be Registered as Holder	Nature of Relevant Interest	Class and numberof shares
Pengana Capital Group Limited	As below	Pengana Capital Group has a relevant interest in the said securities as it wholly owns Pengana Capital Limited and Pengana Investment Management Limited	
Pengana Capital Limited	BNP Paribas Nominees Pty Limited (ACN 084 150 023) on behalf of Pengana Capital Limited	Relevant interest arising as a result of Pengana Capital Limited having power to control the voting and/ or disposal of securities pursuant to position held as an investment manager of various unit trusts.	27,544,919
Pengana Investment Management Limited	BNP Paribas Nominees Pty Limited (ACN 084 150 023) on behalf of Pengana Investment Management Limited	Relevant interest arising as a result of Pengana Investment Management Limited having power to control the voting and/ or disposal of securities pursuant to position held as an investment manager of various unit trusts.	
Pengana International Equities Limited	BNP Paribas Nominees Pty Limited (ACN 084 150 023) on behalf of Pengana International Equities Limited	Pengana Capital Group has a relevant interest in the said securities as it wholly owns Pengana Investment Management Limited who is the investment manager of Pengana International Equities Limited	

Annexure C				
Date of Change	Nature of Change	No of Shares	Consideration	Holder
01-Apr-26	Purchase	2,000,000.00	\$ 518,464.00	Pengana Capital Limited
23-Apr-26	Purchase	1,000,000.00	\$ 157,500.00	Pengana Capital Limited
24-Apr-26	Purchase	800,000.00	\$ 128,640.00	Pengana Capital Limited
27-Apr-26	Purchase	187,664.00	\$ 30,608.00	Pengana Capital Limited
28-Apr-26	Purchase	800,000.00	\$ 130,160.00	Pengana Capital Limited
29-Apr-26	Purchase	212,336.00	\$ 33,973.76	Pengana Capital Limited
18-Jun-26	Purchase	1,000,000.00	\$ 115,900.00	Pengana Capital Limited
22-Jun-26	Purchase	2,734,045.00	\$ 344,763.07	Pengana Capital Limited

Annexure D	
Pengana Capital Group Limited	Suite 1, Level 27, Governor Phillip Tower, 1 Farrer Place, Sydney, NSW2000, Australia
Pengana Capital Limited	Suite 1, Level 27, Governor Phillip Tower, 1 Farrer Place, Sydney, NSW2000, Australia
Pengana Investment Management Limited	Suite 1, Level 27, Governor Phillip Tower, 1 Farrer Place, Sydney, NSW2000, Australia
Pengana International Equities Limited	Suite 1, Level 27, Governor Phillip Tower, 1 Farrer Place, Sydney, NSW2000, Australia