

Halo Technologies Holdings Limited
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# **ASX Announcement**

#### 19 November 2025

# UK Strategy Update – Transition to a Capital Light, B2B Technology Only Model

Halo Technologies Australia (the *Company*) advises that, following a strategic review, it has refined its UK market strategy to adopt a capital light, technology only operating model focused exclusively on B2B clients. As such, the Company will no longer require a regulatory licence in the United Kingdom and has accordingly applied to the UK Financial Conduct Authority (FCA) to hand back Halo Invest's regulatory permissions. The Company is currently awaiting confirmation of acceptance.

### **Background and decision**

Over the past two years, Halo Technologies Australia has successfully developed its research, trading, and wealth platform to serve both B2B and direct clients in the UK through its (presently) FCA licensed subsidiary, Halo Invest. Following the strategic review, the Board has decided to concentrate exclusively on the B2B model within the UK market, with continued support from our Australian headquarters.

#### Rationale and expected benefits

- Capital light focus: Pivoting to a technology only model reduces fixed regulatory and operating costs while enabling the Company to scale through partnerships with FCA authorised counterparties.
- B2B growth alignment: The shift maintains the Company's ability to service key B2B clients in the UK at the same time reducing overheads and aligns with Halo Technologies Australia's commitment to strengthening B2B relationships across the Asia Pacific region, the UAE and Global strategy.
- Streamlined operations: Concentrating on the Company's core technology and distribution partnerships is expected to drive efficiency and sharpen strategic focus.

#### Materiality and disclosure

Although the Board does not consider its change to its UK strategy to be material at this time, it is of the view that the market should be kept fully informed. The Company will continue to comply with its continuous disclosure obligations and will update the market should there be any material developments, including acceptance by the FCA of the return of Halo Invests' regulatory permissions.

This announcement has been authorised for release by the Board of Halo Technologies Holdings Limited

## For further information please contact:

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#### **About HALO**

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